Attorney Docket No. 324628002US3

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on:

JAN 15 2004 B

January 17, 2004

Sandy Reisman

**PATENT** 

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: C. ANDREW NEFF

**APPLICATION NO.:** 

09/816,869

FILED:

March 24, 2001

FOR: VERIFIABLE, SECRET SHUFFLES OF

ENCRYPTED DATA, SUCH AS ELGAMAL ENCRYPTED DATA FOR SECURE MULTI-

**AUTHORITY ELECTIONS** 

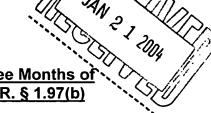
EXAMINER: GAIL O. HAYES

ART UNIT:

2131

CONF. NO:

6077



Fifth Information Disclosure Statement Within Three Months of Application Filing or Before First Action – 37 C.F.R. § 1.97(b)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

RECEIVED

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Sir:

Technology Center 2100

# 1. Timing of Submission

This information disclosure is being filed within three months of the filing date of this application or date of entry into the national stage of an international application or before the mailing date of a first Office action on the merits, whichever occurs last [37 C.F.R. § 1.97(b)]. The references listed on the enclosed Form PTO-1449 (modified) may be material to the examination of this application; the Examiner is requested to make them of record in the application.

## 2. <u>Cited Information</u>

$\boxtimes$	Copies of	the following	references are	enclosed
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☐ References marked by asterisks

☐ The following:

Copies of the following references can be found in parent U.S. Application No. :				
<ul><li>☐ All cited references</li><li>☐ References marked by asterisks</li><li>☐ The following:</li></ul>				
This application was filed after 30 June 2003 and no copies of U.S. patents nor published applications are enclosed (See Notice of Deputy Commissioner Kunin on 11 July 2003).				
The following references are not in English. For each such reference, the undersigned has enclosed (i) a translation of the reference; (ii) a copy of a communication from a foreign patent office or International Searching Authority citing the reference, (iii) a copy of a reference which appears to be an English-language counterpart, or (iv) an English-language abstract for the reference prepared by a third party. Applicant has not verified that the translation, English-language counterpart or third-party abstract is an accurate representation of the teachings of the non-English reference though, and reserves the right to demonstrate otherwise.				
<ul><li>☐ All cited references</li><li>☐ References marked by ampersands</li><li>☐ The following:</li></ul>				

#### 3. Effect of Information Disclosure Statement (37 C.F.R. § 1.97(h))

This Information Disclosure Statement is not to be construed as a representation (i) a search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the cited information is, or is considered to be, material to patentability. In addition, applicant does not admit that any enclosed item of information constitutes prior art to the subject invention and specifically reserves the right to demonstrate that any such reference is not prior art.

#### 4. Fee Payment

No fees are believed due because this Information Disclosure Statement is being filed before the mailing date of the first Office Action.

- $\boxtimes$ Applicant further submits that no fee is due in light of the following certification under 37 C.F.R. § 1.97(e) (check only one):
  - In accordance with 37 C.F.R. § 1.97(e)(1), the undersigned hereby Ø states that each item of information submitted herewith was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement: or

In accordance with 37 C.F.R. § 1.97(e)(2), the undersigned hereby states that no item of information submitted herewith was cited in a communication from a foreign patent office in a counterpart foreign application, or, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c), more than three months prior to the filing of this statement.

However, should the Commissioner determine that fees are due in order for this Information Disclosure Statement to be considered, the Commissioner is hereby authorized to charge such fees to Deposit Account No. 50-0665.

## 5. Patent Term Adjustment (37 C.F.R. § 1.704(d))

The undersigned states that each item of information submitted herewith was cited in a communication from a foreign patent office in a counterpart application and that this communication was not received by any individual designated in 37 C.F.R. § 1.56(c) more than thirty days prior to the filing of this statement. 37 C.F.R. § 1.704(d).

Respectfully submitted Perkins Coie LLP

Christopher J. Daley-Watson Registration No. 34,807

### **Correspondence Address:**

Customer No. 25096 Perkins Coie LLP P.O. Box 1247 Seattle, Washington 98111-1247 (206) 359-8000

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT Form PTO-1449 (Modified) SUSE several sheets if necessary)

of

COMPLETE IF KNOWN				
Application Number	09/816,869			
Confirmation Number	6077			
Filing Date	March 24, 2001			
First Named Inventor	C. Andrew Neff			
Group Art Unit	2131			
Examiner Name	Gail O. Hayes			
Attorney Docket No.	324628002US3			

				U	.S. PATENT DOCUMENTS			
Examiner Initials	Cite No	е		d Code known)	Name of Patentee or Inventor of Cited Document	Date of Publication or Filing Date of Cited Document	Pages, Columns, Lines Where Relevant Figures Appear	5,
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		CA	2,176,990		Kilian et al.	11/20/96		
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EXAMINER	DAT	TE CONSIDERED	
*EXAMINER:	Initial if reference considered, whether or not criteria is in conformance with MPEP 609. Draw line through citation if not in conformance and not		
*EXAMINER:	Initial if reference considered, whether or not criteria is in conformance		